

----- **EVENT NUMBER 7505752** --- FIRST NOTIFICATION

EVENT TYPE 311 **CHANGE IN GOVERNING DOCUMENTATION OF AN ISSUE  
NAME AND ISIN CHANGE**

Preliminary Announcement Confirmed

MANDATORY/VOLUNTARY INDICATOR: **MANDATORY**

----- BALANCES -----

S/N **US0138175072 ALCOA INC.\_COMMON STOCK USD (EQUI/USD)**

BALANCES IN USD (DATED 14/10/2016):

SEC.CLEARANCE ACCT:

-----MAIN UNDERLYING SECURITY-----

SECURITY US0138175072 ALCOA INC.\_COMMON STOCK USD  
(EQUITY) NOMINAL USD 1  
QUOTATION USD 26.440000 ON 14/10/16

----- OPTION INFORMATION -----

CA OPTION NUMBER: 1  
CA OPTION TYPE: Securities Option  
**DEFAULT OPTION: YES**

----- EXERCISE DETAILS -----

SECURITY US0138175072 ALCOA INC.\_COMMON STOCK USD  
(EQUITY) NOMINAL USD 1  
QUOTATION USD 26.440000 ON 14/10/16

MINIMUM FOR EXERCISE: 1  
MULTIPLE FOR EXERCISE: 1

----- PROCEED DETAILS -----

SECURITY 110316780  
TECHNICAL CODE FOR UNKNOWN PROCEED  
(EQUITY) NOMINAL USD 1

**PRODUCT RATIO PER MULTIPLE EXERCISED: 1.000000**  
**ROUNDING: ROUND DOWN TO FULL UNIT OF PROCEED SECURITY**  
**CASH FOR FRACTIONAL SECURITY PRODUCT: NO**

NEW CUSIP: 03965L100 TO BE OPENED AT EUROCLEAR  
**NEW NAME: ARCONIC INC.**

----- EVENT DETAILS -----

**INFORMATION ON CHANGE IN GOVERNING DOCUMENTATION OF AN ISSUE  
ELECTR.CERTIF:N/ NO CERTIFICATION REQUIRED**

SECURITY NAME - PREVIOUS: ALCOA INC.  
NEW: ARCONIC INC.

----- ACTION TO BE TAKEN -----

WE WILL CANCEL AND REPLACE ALL PENDING SETTLEMENT  
TRANSACTIONS  
FROM THE OLD ISIN INTO THE NEW ISIN

SECURITIES AFFECTED BY THIS EVENT WILL BE DELIVERED.

BY DEFAULT, THE EXERCISE SECURITIES WILL BE EXCHANGED

FOR DETAILS, CONTACT CORPORATE ACTIONS - EQUITYREACH EXT 4245

=====END OF NOTICE=====